



RECEIPT
#2

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant: Pocker et al.

Docket No: SJO990197US1

Serial No: 09/721,264

Group Art Unit: 2652

Filed: November 21, 2000

Examiner: Not Yet Assigned

For: **"ENERGY GRADIENT ION BEAM DEPOSITION OF CARBON
OVERCOATS ON RIGID DISK MEDIA FOR MAGNETIC RECORDINGS"**

RECEIVED

AUG 31 2001

Office of Initial Patent Examination
Customer Service Center
Commissioner For Patents
Washington, D.C. 20231

Technology Center 2600

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The filing date for this application is incorrectly entered as November 22, 2000. The correct filing date, as evidenced by the attached copies of the express mail certificate and express mail label, is November 21, 2000.

The correction is not due to any error by applicant and no fee is due. However, in the event that a fee is due, the Commissioner is hereby authorized to charge any such fees to Deposit Account 02-3964.

Respectfully submitted,

ROBERT O. GUILLOT

Reg. No. 28,852

Dated: April 30, 2001

OPPENHEIMER WOLFF & DONNELLY LLP

1400 Page Mill Road
Palo Alto, CA 94304
Tel: (650) 320-4000
Fax: (650) 320-4100

I hereby certify that this correspondence with all attachments is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination, Customer Service Center, Commissioner for Patents, on April 30, 2001 by Patricia Beilmann.

RECEIVED

SEP 6 2001

TC 1700



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/721,264	11/22/2000 11/21/00	2652	898	SJO990197US1	2	26	4

CONFIRMATION NO. 3418

FILING RECEIPT

Robert O. Guillot, Esq.
OPPENHEIMER WOLFF & DONNELLY LLP
1400 Page Mill Road
Palo Alto, CA 94304

RECEIVED



OC000000005889659

AUG 31 2001

Technology Center 2600

Date Mailed: 03/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daryl J. Pocker, San Jose, CA;
Jan-Ulrich Thiele, Menlo Park, CA;
Richard L. White, Los Altos, CA;
Bing K. Yen, San Jose, CA;

RECEIVED

SEP 6 2001

TC 1700

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/21/2001

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No

Title

Energy gradient ion beam deposition of carbon overcoats on rigid disk media for magnetic recordings

RECEIVED
OPPENHEIMER WOLFF & DONNELLY LLP
PALO ALTO, CALIF.

MAR 30 2001

DOC. # 12780-0352
CAL'D
FILED ☐ O/M ☐

Rog/ast



IN THE UNITED STATES PATENT AND TRADEMARK

Box New Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Attorney's Docket No.: SJO990197US1

FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of : Pocker et al.

For: "ENERGY GRADIENT ION BEAM DEPOSITION OF CARBON OVERCOATS
ON RIGID DISK MEDIA FOR MAGNETIC RECORDINGS"

ENCLOSURES

RECEIVED

AUG 31 2001

Technology Center 2600

- ☒ 19 page application including specification, claims and abstract;
- ☒ 2 sheets (Figs. 1 - 6) of ☒ informal/☐ formal drawings;
- ☒ A Declaration, Power of Attorney & Petition (☐ signed/☐ unsigned);
- ☒ A postcard for return to us as proof of receipt of the referenced documents.

and

- ☒ An Assignment of the invention with an assignment cover sheet;
- ☐ Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b));
- ☒ IDS (form PTO-1449) and copies of references;
- ☐ An Associate Power of Attorney;
- ☐ A certified copy of the priority document (Under 35 USC 119);
- ☐ A Power of Attorney by Assignee;
- ☐ Other.

TYPE OF FILING

RECEIVED

- ☐ This application claims the benefit of an earlier filed U.S. Patent Application (35 USC 120).
- ☐ This application claims the benefit of the priority date of an earlier filed provisional patent application (35 USC 119).
- ☐ This is an application filed pursuant to 37 CFR 1.53, permitting receipt of a filing date upon filing of specification, claims and drawings, if required, with applicant being given a period of one month from the date of notice to file the fee and oath or declaration.
- ☒ In the event any parts of this application are missing, please treat this as a filing under 37 CFR 1.53 as defined just above.

CERTIFICATE OF MAILING (37 CFR 1.10(A))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited with the U. S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EL654882455US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on November 21, 2000 by Patricia Beilmann.

Date: November 21, 2000

Patricia Beilmann
Patricia Beilmann



RECEIVED

AUG 3 2000

Technology Center 2000

**POST OFFICE
TO ADDRESSEE**



EL654882455US

ORIGIN (POSTAL USE ONLY)

PO ZIP Code 94128	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date In Mo. 11 Day 21 Year 00	<input checked="" type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$ 18.50
Time In <input type="checkbox"/> AM 2115 PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight 2 lbs. 7 ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials MC	Total Postage & Fees \$ 18.50

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE



CUSTOMER USE ONLY

METHOD OF PAYMENT:
Express Mail Corporate Acct. No. **X940383**
Federal Agency Acct. No. or
Postal Service Acct. No.

☐ WAFER OF SIGNATURE (Complete only if you are using a wafer of signature)
☐ NO DELIVERY ☐ Weekend ☐ Holiday
Customer Signature

132

F22

FROM: (PLEASE PRINT) PHONE **650-320-4000**
Robert O. Guillot
OPPENHEIMER WOLFF & DONNELLY
1400 PAGE MILL RD
PALO ALTO CA 94304-1124
12780-352

TO: (PLEASE PRINT) PHONE
ASSISTANT COMMISSIONER
FOR PATENTS
WASHINGTON DC 20231-0001
BOX NEW PATENT APPLICATION

PRESS HARD. You are making 3 copies. **FOR PICKUP OR TRACKING CALL 1-800-222-1811** www.usps.gov **EMS**

RECFI

SEP 6 2001

TC 1700